

RECEIVED Box Sequence

1636

FEB 02 2001

Patent
246/180

TECH CENTER 1600/2900

W/D

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

RECEIVED

In re Application of:

) Group Art Unit: 1636

JAN 25 2001

Elisabetta Vegeto et al.

) Examiner: W. Sandals

TECH CENTER 1600/2900

Serial No. 09/465,133

)

Filed: December 16, 1999

)

For: MUTATED STEROID HORMONE
RECEPTORS, METHODS FOR THEIR USE
AND MOLECULAR SWITCH FOR GENE
THERAPY

)



TRANSMITTAL

BOX SEQUENCE

Commissioner for Patents
Washington, D.C. 20231

Sir:

Applicants submit the following documents with this Transmittal Letter.

- (1) Amendment and Response;
- (2) Copy of the Notice to Comply with Requirements for Patent Applications Containing Nucleotide Sequence and Amino Acid Sequence Disclosures;
- (3) Amended Drawing (Fig. 2B) with amendments marked in red ink;
- (4) Nucleotide and Amino Acid sequence listing information (paper format);

CERTIFICATE OF MAILING
(37 C.F.R. §1.8a)

I hereby certify that this paper (along with any referred to as being attached or enclosed) is being deposited with the United States Postal Service on the date shown below with sufficient postage as First Class Mail in an envelope addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

1/18/01
Date of Deposit

Laura Murphy
Name of Person Mailing Paper
Laura Murphy
Signature of Person Mailing Paper

- (5) Nucleotide and Amino Acid sequence listing information (computer format); and
- (6) Return Postcard.

LYON & LYON LLP

Dated: January 18, 2001

By: 
Michael J. Wise
Reg. No. 34,047



22249

PATENT TRADEMARK OFFICE

LYON & LYON LLP
Suite 4700
633 W. Fifth Street
Los Angeles, CA 90071
Tel: (213) 489-1600
Fax: (213) 955-0440

NOTICE TO COMPLY WITH REQUIREMENTS FOR PATENT APPLICATIONS CONTAINING NUCLEOTIDE SEQUENCE AND/OR AMINO ACID SEQUENCE DISCLOSURES

The nucleotide and/or amino acid sequence disclosure contained in this application does not comply with the requirements for such a disclosure as set forth in 37 CFR 1.821 - 1.825 for the following reason(s):

1. This application clearly fails to comply with the requirements of 37 CFR 1.821 - 1.825. Applicant's attention is directed to these regulations, published at 1114 OG 29, May 15, 1990 and at 55 FR 18230, May 1, 1990.

2. This application does not contain, as a separate part of the disclosure or paper copy, a "Sequence Listing" as required by 37 CFR 1.821(c).

3. A copy of the "Sequence Listing" in computer readable form has not been submitted as required by 37 CFR 1.821(e).

4. A copy of the "Sequence Listing" in computer readable form has been submitted; however, the content of the computer readable form does not comply with the requirements of 37 CFR 1.822 and/or 1.823, as indicated on the attached copy of the marked-up "Raw Sequence Listing."

5. The computer readable form that has been filed with this application has been found to be damaged and/or unreadable as indicated on the attached CRF Diskette Problem Report. A substitute computer readable form must be submitted as required by 37 CFR 825(d).

6. The paper copy of the "Sequence Listing" is not the same as the computer readable form of the "Sequence Listing" as required by 37 CFR 1.821(e).

7. *Sequences appear in figure 3 without sequence identifiers.*

Applicant must provide:

An initial or substitute computer readable form (CRF) copy of the "Sequence Listing"

An initial or substitute paper copy of the "Sequence Listing", as well as an amendment directing its entry into the specification

A statement that the content of the paper and computer readable copies are the same and, where applicable, include no new matter, as required by 37 CFR 1.821(e) or 1.821(f) or 1.821(g) or 1.825(b) or 1.825(d)

If you have questions regarding compliance with these requirements, please contact:

- Rules Interpretation, call (703) 308-1123
- CRF submission help, call (703) 308-4212
- PatentIn software help, call (703) 557-0400

RECEIVED

FEB 02 2001

Please return a copy of this notice with your response.

TECH CENTER 1600/2900